



**Department of Insurance**  
**State of Arizona**  
*Financial Affairs Division – Trust Deposit Unit*  
2910 North 44th Street, Suite 210  
Phoenix, Arizona 85018-7269  
Telephone: (602) 364-2712  
Fax: (602) 364-3989

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**CERTIFICATE OF DEPOSIT TRANSMITTAL**

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**DELIVERY OF THE FOLLOWING CERTIFICATE OF DEPOSIT IS MADE FOR SAFEKEEPING WITH THE ARIZONA STATE TREASURER ON BEHALF OF THE DIRECTOR OF INSURANCE, WHO WILL, IN TURN, HOLD THE SECURITY FOR:**

\_\_\_\_\_  
(Complete Name of Company)

\_\_\_\_\_  
(NAIC #)

**CERTIFICATE OF DEPOSIT DESCRIPTION:**

Name of Financial Institution: \_\_\_\_\_

Face Amount: \$ \_\_\_\_\_ Interest Rate \_\_\_\_\_ % Maturity Date: \_\_\_\_\_

Certificate of Deposit Number: \_\_\_\_\_

Automatic Renewal? (check one): ( ) YES ( ) NO

Financial Institution Account Number: \_\_\_\_\_ (if different than CD Number)

**TO BE CLASSIFIED AS A: (Check one type only)**

- ( ) HCSO Escrow Reserve Deposit - pursuant to A.R.S. § 20-1056  
( ) Ordinary Statutory Deposit required for authority to transact in Arizona  
( ) Retaliatory Deposit pursuant to A.R.S. § 20-230  
( ) Security Deposit for the benefit of ARIZONA policyholders only  
( ) Workers' Compensation Deposit pursuant to A.R.S. § 23-961

**TO BE DELIVERED FOR DEPOSIT BY: (Check one option only in A or B)**

- A. Personal \_\_\_\_\_ courier \_\_\_\_\_ or mail \_\_\_\_\_ (check one) delivery to the Arizona Department of Insurance.  
B. \_\_\_\_\_ Delivery to the office of the Arizona State Treasurer at a meeting to be scheduled by the Arizona Department of Insurance.

**AS INSTRUCTED BY THIS AUTHORIZED REPRESENTATIVE OF THE COMPANY:**

Name: \_\_\_\_\_ Title: \_\_\_\_\_

Signature: \_\_\_\_\_ Date: \_\_\_\_\_

CONTACT PERSON: \_\_\_\_\_ Title: \_\_\_\_\_

Collect or Toll-Free Phone: \_\_\_\_\_ Fax: \_\_\_\_\_

***DELIVER THIS FORM TO THE TRUST DEPOSITS UNIT OF THE ARIZONA DEPARTMENT OF INSURANCE AT THE ADDRESS SHOWN ABOVE***

***Please call the Trust Deposits Unit at (602) 364-2712 for assistance with completing and filing this form.***